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SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2060-78	SERIAL NO. 09/997,932
	APPLICANT BRODERICK et al.	
	FILING DATE November 28, 2001	GROUP ART 1754

U.S. PATENT DOCUMENTS

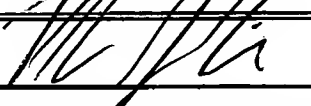
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
PL	AA	6,403,044	06/11/02	Litz et al.	423	101	

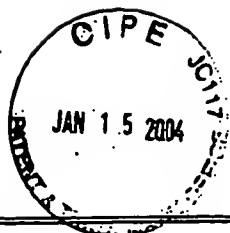
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

PL	AB	Presentation to Idaho National Engineering and Environmental Laboratory, August 12, 1997.
PL	AC	Stewart et al., "Stabilization of Radioactively Contaminated Elemental Mercury Wastes", DOE Spectrum 1998 Conference.

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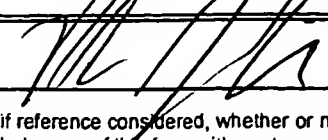


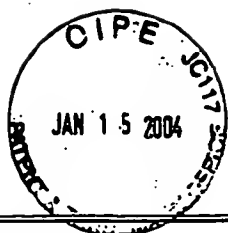
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PL	AD	1,697,095	1/01/29	Turner			
PL	AB	2,145,901	2/07/39	Shoemaker	210	23	
PL	AC	2,367,496	1/16/45	Greentree	252	299	
PL	AD	3,194,629	7/13/65	Dreibelbis et al.	23	2	
PL	AF	3,201,149	8/17/65	Bragg	210	38	
PL	AF	3,499,837	3/10/70	Jaunaraajs	210	59	
PL	AD	3,516,947	6/23/70	Dudzik	252	439	
PL	AH	3,576,947		Kruger	178	69.5	1/16/69
PL	AI	3,755,161	8/28/73	Yokota et al	210	38	
PL	AJ	3,864,327	2/04/75	Marchant	210	231A	
PL	AK	3,873,581	3/25/75	Fitzpatrick et al.	210	370	
PL	AJ	3,876,451	4/08/75	Zall	117	62	
PL	AM	3,890,225	6/17/75	Kaiiyama	210	38	
PL	AD	3,935,098	1/27/76	Oda, et al	210	38	
PL	AD	3,947,354	3/30/76	Swanson et al.	210	59	
PL	AF	3,948,863	4/08/76	Akamatsu et al.	210	78A	
PL	AQ	3,969,244	7/13/76	Kobayashi et al.	210	38B	
PL	AR	3,984,606	10/05/76	Morgan	428	419	
PL	AS	3,994,719	11/30/76	Corte et al.	75	101BE	
PL	AT	3,999,825	12/28/76	Cannon	339	15	
PL	AU	4,008,937	2/22/77	Fillppi	339	15	

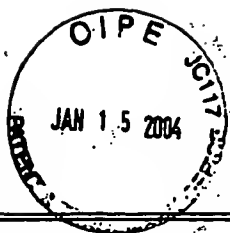
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PL	AV	4,051,316	9/27/77	Wing et al.	536	107	
PL	AW	4,069,140	1/17/78	Wunderlich	208	251H	
PL	AX	4,072,605	2/7/78	Thelander	210	50	5/25/76
PL	AY	4,083,783	4/11/78	Wing et al.	210	54	
PL	AZ	4,087,359	5/02/78	Patron, et al.	210	50	
PL	BA	4,108,769	8/22/78	Krieg et al.	210	50	3/22/77
PL	BB	4,118,243	10/03/78	Sandersara	106	109	
PL	BC	4,133,755	1/09/79	Tarao, et al.	210	38B	
PL	BD	4,151,077	4/24/79	Nogueira, et al.	210	21	
PL	BE	4,153,556	5/08/79	Riedinger	210	218	
PL	BF	4,196,173	4/01/80	deJong et al.	423	210	
PL	BG	4,233,274	11/11/80	Allgulin	423	210	
PL	BH	4,238,329	12/09/80	Zievers	210	36	
PL	BI	4,245,989	1/20/81	Folkenroth et al.	433	92	7/9/79
PL	BJ	4,249,786	2/10/81	Mahoff	339	15	
PL	BK	4,256,227	3/17/81	Petrovich	209	166	
PL	BL	4,273,747	6/16/81	Rasmussen	423	210	
PL	BM	4,285,564	8/25/81	Spinner	339	89C	
PL	BN	4,340,623	7/20/82	Justus	427	361	
PL	BO	4,363,749	12/14/82	Weiss et al.	252	455R	
PL	BP	4,385,891	5/31/83	Ligotti	433	92	
PL	BQ	4,459,370	7/10/84	van der Wal et al.	502	338	
PL	BR	4,500,327	2/19/85	Nishino et al.	55	72	
PL	BS	4,564,374	1/14/86	Hofmann	55	57	
PL	BT	4,591,437	5/27/86	Ernyrd et al.	210	265	6/1/83

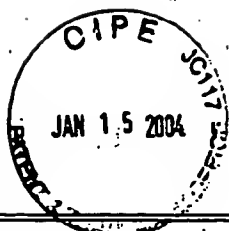
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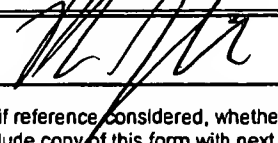
PL	BU	4,654,322	3/31/87	Holbein, et al.	502	403	
PL	BV	4,670,160	6/02/87	Moriya, et al.	210	728	
PL	BW	4,721,582	1/26/88	Nelson	252	189	
PL	BX	4,752,398	6/21/88	Holbein, et al.	210	679	
PL	BY	4,753,632	6/28/88	Hofmann et al.	494	43	11/25/86
PL	BZ	4,764,355	8/16/88	Romey et al.	423	244	
PL	CA	4,771,030	9/13/88	Audeh	502	414	
PL	CB	4,786,483	11/22/88	Audeh	423	210	
PL	CC	4,786,484	11/22/88	Nelson	423	239	
PL	CD	4,814,152	3/21/89	Yan	423	210	
PL	CE	4,834,953	5/30/89	Audeh	423	210	
PL	CF	4,843,102	6/27/89	Horton	521	28	
PL	CG	4,877,515	10/31/89	Audeh	208	251R	
PL	CH	4,902,662	2/20/90	Toulboat et al.	502	216	
PL	CI	4,909,944	3/20/90	Jackson et al.	210	674	
PL	CJ	4,911,825	3/27/90	Roussel et al.	208	251R	
PL	CK	4,917,862	4/18/90	Kraw et al.	423	4	
PL	CL	4,919,826	4/24/90	Alzner	210	788	
PL	CM	4,933,158	6/12/90	Aritsuka et al.	423	210	
PL	CN	4,950,408	8/21/90	Duisters et al.	210	660	
PL	CO	4,969,995	11/13/90	Jackson et al.	210	263	
PL	CP	4,985,389	1/15/91	Audeh	502	516	

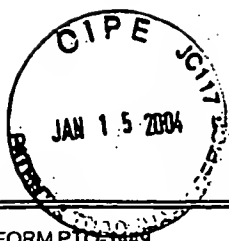
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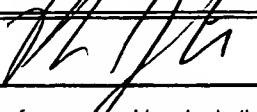
PL	CQ	5,017,135	5/21/91	Meyer	433	92	
PL	CR	5,064,626	11/12/91	Johnson et al.	423	245.1	
PL	CS	5,085,844	2/04/92	Nowack et al.	423	245.1	
PL	CT	5,114,578	5/19/92	Sundström	210	256	2/12/91
PL	CU	5,120,515	5/09/92	Audeh et al.	423	210	
PL	CV	5,154,833	10/13/92	Robinson	210	664	
PL	CW	5,209,773	5/11/93	Audhe et al.	75	388	
PL	CX	5,227,053	7/13/93	Brym	210	143	11/30/90
PL	CY	5,238,488	8/24/93	Wilhelm	75	742	
PL	CZ	5,245,106	9/14/93	Cameron et al.	585	823	
PL	DA	5,248,488	9/28/93	Yan	423	210	
PL	DB	5,304,693	4/19/94	Boitiaux et al.	585	648	
PL	DC	5,330,658	7/19/94	Grant et al.	210	717	3/17/93
PL	DD	5,338,444	8/16/94	van Buren, et al.	210	660	
PL	DE	5,357,002	10/18/94	Lezzi et al.	525	332.2	
PL	DF	5,369,072	11/29/94	Benjamin et al.	502	84	
PL	DG	5,370,827	12/6/94	Grant et al.	588	18	4/2/93
PL	DH	5,421,994	6/06/95	Sarrazin et al.	208	251R	
PL	DI	5,437,797	8/01/95	Helmig	210	669	
PL	DJ	5,460,643	10/24/95	Hasenpusch et al.	95	134	
PL	DK	5,569,436	10/29/96	Lerner	422	170	

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PL	DL	5,577,910	11/26/96	Holland	433	92	5/27/94
PL	DM	5,599,515	2/04/97	Misra et al.	423	101	
PL	DN	5,607,496	3/04/97	Brooks	75	670	
PL	DO	5,613,851	3/25/97	Trawöger et al.	433	92	
PL	DP	5,658,487	8/19/97	Carey et al.	252	180	
PL	DQ	5,667,695	9/16/97	Bedard et al.	210	681	5/20/96
PL	DR	5,670,122	9/23/77	Zamansky et al.	423	210	
PL	DS	5,679,259	10/21/97	Bolser	210	719	
PL	DT	5,695,726	12/09/97	Lerner	423	210	
PL	DU	5,741,397	4/21/98	Kraver	159	25.2	
PL	DV	5,753,125	5/19/98	Kreisler	210	710	
PL	DW	5,795,159	8/18/98	Ralls et al.	433	92	2/2/96
PL	DX	5,797,742	8/25/98	Fraker	433	92	2/29/96
PL	DY	5,846,434	12/08/98	Seaman et al.	210	724	
PL	DZ	5,880,060	3/9/99	Blake et al.	502	411	8/28/96
PL	EA	5,885,076	3/23/99	Ralls et al.	433	92	12/1/95
PL	EB	5,900,042	5/04/99	Mendelsohn et al.	75	742	
PL	EC	5,907,037	5/25/99	Gujral et al.	536	59	
PL	ED	5,908,559	6/01/99	Kreisler	210	710	
PL	EE	6,022,216	2/08/00	Cattani	433	92	
PL	EF	6,024,239	2/15/00	Turner et al	220	269	

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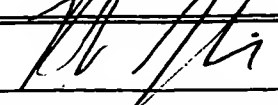


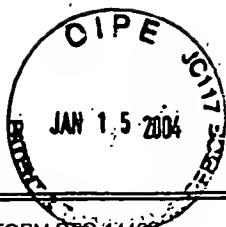
SHEET 6 OF 10

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PL	EG	6,083,306	7/04/00	Cattani	96	157	
PL	EH	6,083,473	7/04/00	Esquivel et al.	423	576.8	
PL	EI	6,103,205	8/15/00	Wojtowicz et al.	423	210	
PL	EJ	6,132,623	10/17/00	Nikolaidis et al.	210	719	
PL	EK	6,139,751	10/31/00	Bogaert et al.	210	679	
PL	EL	6,165,366	12/26/00	Sarangapani	210	666	
PL	EM	6,270,679	8/07/01	Kreisler	210	710	
PL	EN	6,274,045 B1	8/14/01	Kreisler	210	710	
PL	EO	6,322,613 B1	11/27/01	Wojtowicz et al.	95	107	
PL	EP	6,372,187 B1	4/16/02	Madden et al.	422	171	
PL	EQ	6,375,909	4/23/02	Dangtran et al.	423	235	
PL	ER	6,387,276 B1	5/14/02	Nikolaidis et al.	210	719	
PL	ES	6,524,371 B2	2/25/03	El-Shoubary et al.	95	134	
PL	ET	6,533,842 B1	3/18/03	Maes et al.	95	134	
PL	EU	6,558,642 B2	5/06/03	El-Shoubary et al.	423	245-3	
PL	EV	10/134,178		Lovell			4/26/02
PL	EW	09/794,577		Albiston et al			2/27/01
PL	EX	10/253,944		Broderick			9/23/02
PL	EY	10/600,117		Lovell et al.			6/20/03
PL	EZ	10/681,671		Lovell			10/07/03

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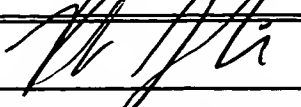


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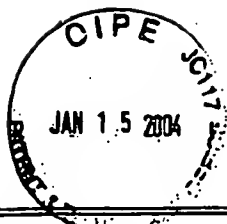
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
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PL	FA	DE 3813 264 A1	11/10/88	Germany			X	
PL	FB	WO 02/096559 A1	5/31/01	PCT			X	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

PL	FC	January 20, 2003 print-out of E-watertechnologies' website at <a href="http://www.e-watertechnologies.com">http://www.e-watertechnologies.com</a> regarding Whole House Anion Water Conditioner, 2 pages.
PL	FD	January 20, 2003 print-out of E-watertechnologies' website at <a href="http://www.e-watertechnologies.com">http://www.e-watertechnologies.com</a> regarding Whole House Reverse Osmosis System, 4 pages.
PL	FE	January 20, 2003 print-out of Wholly Water® website at <a href="http://www.wholly-water.com">http://www.wholly-water.com</a> regarding The Ultimate Drinking Water Purification System, 9 pages.
PL	FF	January 20, 2003 print-out of Prostar Mechanical Technologies Ltd. website at <a href="http://www.prostar-mechanical.com">http://www.prostar-mechanical.com</a> regarding Water Purification Systems and Equipment, 4 pages.
PL	FG	January 20, 2003 print-out of A1 Ultra Pure Drinking Water's website at <a href="http://www.a1ultrapure.com/drink.html">http://www.a1ultrapure.com/drink.html</a> regarding Drinking Water Systems, 8 pages.
PL	FH	January 21, 2003 print-out of Apyron Technologies' website at <a href="http://www.apyron.com/home.html">http://www.apyron.com/home.html</a> beginning with home page and including The Complete Arsenic Treatment Solution, 15 pages.
PL	FI	January 20, 2003 print-out of USFilter website at <a href="http://www.usfilter.com/water">http://www.usfilter.com/water</a> regarding GFHTM Granular Ferric Hydroxide Media, 2 pages.
PL	FJ	January 20, 2003 print-out of Severn Trent Services at <a href="http://www.severntrentservices.com">http://www.severntrentservices.com</a> regarding SORB33, 2 pages.
PL	FK	January 20, 2003 print-out of ADI website at <a href="http://www.adi.ca">http://www.adi.ca</a> regarding Arsenic Removal, 1 page.

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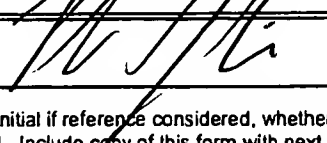


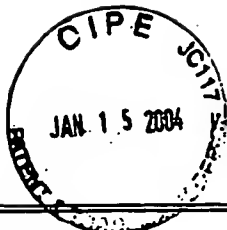


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PL	FL	January 20, 2003 print-out of TRAMFLOC, INC. website at <a href="http://www.tramfloc.com">http://www.tramfloc.com</a> regarding Application of ActiGuard AAFSS0 to Arsenic Removal, 3 pages.
PL	FM	January 20, 2003 print-out of Waste Technologies of Australia Pty. Ltd. (Arsenic Solutions Information Page, Solutions and Resources) at <a href="http://www.arsenic-solutions.com">http://www.arsenic-solutions.com</a> regarding Simple Arsenic Removal Process (site under reconstruction), 2 pages.
PL	FN	January 20, 2003 print-out of WRT website at <a href="http://wrt.net">http://wrt.net</a> regarding The Z-33™ Arsenic Removal Process, 1 page.
PL	FO	Agion Antimicrobial, Agion Technologies L.L.C., The Most Advanced Antimicrobial Silver Delivery System, An Introduction, 10 page.
PL	FP	Bayer Corporation, Plastics Division, "Wheel Covers, Center Caps Become Revolving Art Forms With New Film Insert Molding Technology," 4 pages.
PL	FQ	Brown, et al; "Mercury Measurement and Its Control: What We Know, Have Learned, and Need to Further Investigate;" J. Air & Water Manage. Assoc., pp. 1-97; June 1999
PL	FR	Gash, et al; "Efficient Recovery of Elemental Mercury from Hg(II)-Contaminated Aqueous Media Using a Redox-Recyclable Ion-Exchange Material;" Environ. Sci. Technol. 1988, 32, 1007-1012
PL	FS	Dorhout and Strauss; "The Design, Synthesis, and Characterization of Redox-Recyclable Materials for Efficient Extraction of Heavy Element Ions from Aqueous Waste Streams;" 1999 American Chemical Society, pp. 53-68.
PL	FT	Rose, Arthur W., Hawkes, Herbert E., Webb, John S., "Geochemistry in Mineral Exploration," Second Edition, 1979, 3 pgs.
PL	FU	Maximum Separation Systems brochure, 7 pgs.
PL	FV	Maximum Product Information brochure, printed April 14, 2000 from website <a href="http://www.amalgamseparators.com">http://www.amalgamseparators.com</a> , 3 pgs.
PL	FW	April 14, 2000 printout of ISOSEP® found at website <a href="http://www.drs.nl">http://www.drs.nl</a> , 2 pgs.
PL	FX	Maximum Separation Systems, Inc. Model 2000 Type 4 Amalgam Separator brochure, 1 pg.

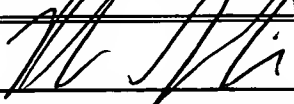
EXAMINER 	DATE CONSIDERED 1/7/05
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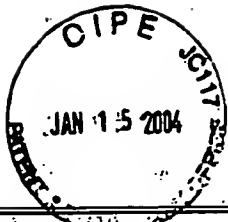


SHEET 9 OF 10

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2060-78	SERIAL NO. 09/997,932
	APPLICANT BRODERICK et al.	
	FILING DATE November 28, 2001	GROUP ART 1754

PL	FY	Metasys brochure, 2 pgs.
PL	GA	ADA Technologies, Inc. Final Progress Report Entitled "CLEANUP OF DENTAL AMALGAM WASTE TO MEET SEWER DISCHARGE REGULATIONS;" Grant No. 1 R43 ES07886-01, April 28, 1997, 43 PGS.
PL	GB	Bindsley; "DENTAL OFFICE WASTE STREAM CHARACTERIZATION STUDY;" Municipality of Metropolitan Seattle, Royal Dental College, Adv. Dent. Res. 6:125-130, Sept. 1991, 60 pgs.
PL	GC	Bill Johnson; Technical Memorandum on "MERCURY SOURCE IDENTIFICATION UPDATE: DENTAL OFFICES AND HUMAN WASTE;" EIP Associates; March 2, 1999, 25 pgs.
PL	GD	"Scientific Review of Issues Impacting Dentistry;" Naval Dental Research Institute; Vol. 2, No. 1, January 2000, 5 pgs.
PL	GE	Pederson et al.; "The Removal of Mercury from Dental-Operatory Wastewater by Polymer Treatment;" Naval Dental Research Institute, Environmental Health Perspectives Vol. 107, No. January 1, 1999, 6 pgs.
PL	GF	Kümmerer et al.; "Mercury Emissions from Dental Chairs by Disinfection;" Institute of Environmental Medicine and Hospital Epidemiology, University Hospital Freiburg, <i>Chemosphere</i> , Vol. 35, No. 4, pps. 827-833, 1997.
PL	GG	Fan et al.; "Environmental issues in dentistry - mercury*;" International Dental Journal (1997)47, 105-109. *Project Initiated and report approved by FDI Commission.
PL	GH	"Best Management Practices for Dental Waste;" City of Boulder Public Works/Utilities; 9 pgs.
PL	GI	Batchu et al., "Comparison of Particle Size Distributions of Dental Wastewater Under Various Clinical Procedures;" Naval Dental Research Institute Great Lakes, Illinois, 19 pgs.
PL	GJ	Cailas et al., "Physico-chemical Properties of Dental Wastewater;" Water Environmental Federation, Chicago, Illinois, October 1994, 11 pgs.
PL	GK	Arenholt-Bindsley and Larsen; "Mercury Levels and Discharge in Waste Water from Dental Clinics;" Waste, Air and Soil Pollution; 86:93-99, January 1996, 8 pgs.
PL	GL	Letzel et al.; "An Estimation of the Size Distribution of Amalgam Particles in Dental Treatment Waste;" J. Dent. Res. 76(3): 780-788; March 1997.


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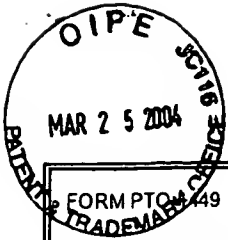


SHEET 10 OF 10

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2060-78	SERIAL NO. 09/997,932
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PL	GM	Listing of Abstracts from Int'l Conference "Arsenic in Bangladesh Ground Water: World's Greatest Arsenic Calamity," February 22-28, 1999, 22 pgs.
PL	GN	EPA "Arsenic in Drinking Water: Treatment Technologies for Arsenic Decision Tree, Variances and Exemptions," June 2-3, 1999, 9 pages.
PL	GO	DrieHaus, et al., "Granular ferric hydroxide - a new absorbent for the removal of arsenic from natural water," J. Water SRT - Aqua 47, 1998, abstract and pages 59-68.
PL	GP	Murcott, Susan, Appropriate Remediation Technologies for Arsenic-Contaminated Wells," Massachusetts Institute of Technology, "Arsenic in Bangladesh Ground Water" Wagner College, Staten Island, New York, February 27-28, 1999, 13 pgs.
PL	GQ	ADA Technologies, Inc., "Turnkey Arsenic Removal for Small Water Systems," Topic #AF03-265, Proposal #F031-0720, 3 pgs.

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SHEET 1 OF 1

FORM PTO 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2060-78	SERIAL NO. 09/997,932
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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
PL	AA	3,677,696	07/18/72	P. Bryk et al.	23	2	
PL	AB	3,961,031	06/01/76	Yasui et al.	423	210	
PL	AC	4,160,730	07/10/79	Nguyen	210	48	
PL	AD	4,443,417	06/17/84	Wiklund	423	210	
PL	AE	4,583,999	06/22/86	Lindahl et al.	55	68	
PL	AF	4,693,731	09/15/87	Tarakad et al.	55	72	
PL	AG	5,294,417	03/15/94	Moore et al.	423	101	
PL	AH	6,153,108	11/28/00	Klock et al.	210	722	
PL	AI	6,214,304 B1	04/10/01	Rosenthal et al.	423	210	
PL	AJ	6,284,208 B1	09/04/01	Thomassen	423	210	
PL	AK	6,294,139 B1	09/25/01	Vicard et al.	423	210	
PL	AL	6,447,740 B1	09/10/02	Caldwell et al.	423	210	
PL	AM	6,534,024 B2	03/18/03	Honjo et al.	423	210	
PL	AN	2001/0007647 A1	07/12/01	Honjo et al.	423	210	11/30/00
PL	AO	2003/0099585 A1	05/29/03	Allguin	423	210	10/17/02

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